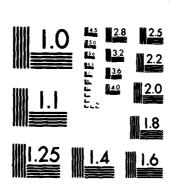
NEW YORK STATE DEPT OF ENVIRONMENTAL CONSERVATION ALBANY F/G 13/1
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#### REPORT DOCUMENTATION PAGE

**READ INSTRUCTIONS** BEFORE COMPLETING FORM

1. REPORT NUMBER

AD-A090930

2. GOVT ACCESSION NO. 3. RECIPIENT'S CATALOG NUMBER

4. TITLE (and Subtitle)
Phase I Inspection Report

Windover Dam

Upper Hudson River Basin, Warrow County, New York Inventory No. 150

. TYPE OF REPORT & PERIOD COVERED Phase I Inspection Report National Dam Safety Program

6. PERFORMING ORG. REPORT NUMBER

7. AUTHOR(s)

CONTRACT OR GRANT NUMBER(a)

George Koch

✓ DACW-51-79-C-0001

9. PERFORMING ORGANIZATION NAME AND ADDRESS New York Department of Environmental 50 Wolf Road Conservation Albany, NY. 12233

IO. PROGRAM ELEMENT, PROJECT, TASK
AREA & WORK UNIT NUMBERS

L CONTROLLING OFFICE NAME AND ADDRESS
New York State Department of Environmental

50 Wolf Road Conservation Albany, NY 12233

2 June 1980 13. NUMBER OF PAGES

4. MONITORING AGENCY NAME & ADDRESS(If different from Controlling Office) Department of the Army

26 Federal Plaza New York District, CofE 15. SECURITY CLASS. (of this report)

UNCLASSIFIED

12. REPORT DATE

154. DECLASSIFICATION/DOWNGRADING

16. DISTRIBUTION STATEMENT (of this Report)

New York, NY 10287

Approved for public release; Distribution unlimited.

17. DISTRIBUTION STATEMENT (of the abstract entered in Block 20, If different from Repo

OCT 3 0 1980

18. SUPPLEMENTARY NOTES

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19. KEY WORDS (Continue TO TO THE BARBARY AND MONTHly by block number)
Dam Safety

National Dam Safety Program Visual Inspection

Hydrology, Structural Stability

Windover Dam Warren County Baker Brook

20. ABSTRACT (Continue on reverse side H responsity and identify by block number)

This report provides information and analysis on the physical condition of the dam as of the report date. Information and analysis are based on visual inspection of the dam by the performing organization.

The examination of documents and the visual inspection of Windover Dam did not reveal conditions which constitute an immediate hazard to human life or property. However, the dam has some deficiencies which require further investigation and remedial action.

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The classification of "unsafe" applied to a dam because of a "seriously inadequate" spillway is not meant to connote the same degree of emergency as would be associated with an "unsafe" classification applied for a structural deficiency. It does mean that there appears to be a serious deficiency in spillway capacity and if a severe storm were to occur, overtopping and failure of the dam could take place, significantly increasing the hazard to loss of life downstream of the dam.

It is, therefore recommended that within 3 months of notification to the owner, detailed hydrological hydraulic investigations of the structure should be undertaken to more accurately determine the site specific characteristics of the watershed and their affect upon the overtopping potential of the dam. The results of these investigations will determine the appropriate remedial measures which will be required to achieve a spillway capacity adequate to discharge the outflow from at least the 1/2 PMF. In the interim, a detailed emergency action plan must be developed and implemented during periods of unusually heavy precipitation. Also, around-the-clock surveillance of the structure must be provided during these periods.

The structural stability analysis indicates that the factors of safety are not acceptable, therefore, an investigation of the structural stability of the spillway portion of the dam is required. This investigation will determine the type and extent of remedial measures required.

In addition the dam has a number of problem areas, which if left uncorrected have the potential for the development of hazardous conditions and must be corrected within 1 year. These areas are:

- 1. Monitor the downstream slope of the right embankment at bi-weekly intervals to ascertain if movement is ongoing.
- Monitor the seepage at the toe of the slope of the right embankment at bi-weekly intervals with the aid of weirs.
- 3. Repair the eroded upstream slope with riprap.
- 4. Backfill the depressions observed at the toe of the left embankment, and at the crest of the embankment adjacent to the spillway walls. Also repair the eroded areas adjacent to the downstream toe of the spillway buttresses with riprap.
- 5. Repair all cracked concrete and recaulk all joints.
- 6. Return the reservoir drain to operating condition.
- 7. Remove all tree and brush growth on the embankment surfaces and along the banks of the immediate downstream channel. Provide a program of periodic cutting and mowing of the embankment surfaces and downstream channel.

# UPPER HUDSON RIVER BASIN WINDOVER DAM

WARREN COUNTY NEW YORK INVENTORY NO. N.Y. 150

### PHASE I INSPECTION REPORT NATIONAL DAM SAFETY PROGRAM



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NEW YORK DISTRICT CORPS OF ENGINEERS

MARCH 1980

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#### <u>PREFACE</u>

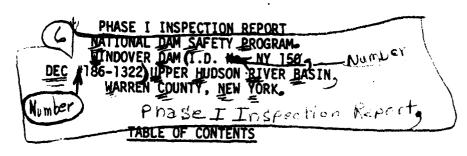
This report is prepared under guidance contained in the Recommended Guidelines for Safety Inspection of Dams, for Phase I Investigations. Copies of these guidelines may be obtained from the Office of Chief of Engineers, Washington, D.C. 20314. The purpose of a Phase I Investigation is to identify expeditiously those dams which may pose hazards to human life or property. The assessment of the general condition of the dam is based upon available data and visual inspections. Detailed investigation, and analyses involving topographic mapping, subsurface investigations, testing, and detailed computational evaluations are beyond the scope of a Phase I Investigation; however, the investigation is intended to identify any need for such studies.

In reviewing this report, it should be realized that the reported condition of the dam is based on observations of field conditions at the time of inspection along with data available to the inspection team. In cases where the reservoir was lowered or drained prior to inspection, such action, while improving the stability and safety of the dam, removes the normal load on the structure and may obscure certain conditions which might otherwise be detectable if inspected under the normal operating environment of the structure.

It is important to note that the condition of a dam depends on numerous and constantly changing internal and external conditions, and is evolutionary in nature. It would be incorrect to assume that the present condition of the dam will continue to represent the condition of the dam at some point in the future. Only through frequent inspections can unsafe conditions be detected and only through continued care and maintenance can these conditions be prevented or corrected.

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Phase I inspections are not intended to provide detailed hydrologic and hydraulic analyses. In accordance with the established Guidelines, the Spillway Test flood is based on the estimated "Probable Maximum Flood" for the region (greatest reasonably possible storm runoff), or fractions thereof. Because of the magnitude and rarity of such a storm event, a finding that a spillway will not pass the test flood should not be interpreted as necessarily posing a highly inadequate condition. The test flood provides a measure of relative spillway capacity and serves as an aide in determining the need for more detailed hydrologic and hydraulic studies, considering the size of the dam, its general condition and the downstream damage potential.



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REFERENCES

DRAWINGS

STRUCTURAL STABILITY

D.

#### Phase I Inspection Report National Dam Safety Program

Name of Dam:

Windover I.D. No. NY 150

State Located:

New York

County:

Warren

Watershed:

Upper Hudson River

Stream:

Baker Brook (trib. of North Creek)

Dates of Inspection:

Taranio. 1 ]

November 29 and December 6, 1979

ASSESSMENT

The examination of documents and the visual inspection of Windover Dam did not reveal conditions which constitute an immediate hazard to human life or property. However, the dam has some deficiencies which require further investigation and remedial action.

Using the Corps of Engineers "screening criteria" for the initial review of spillway adequacy, it has been determined that the embankment would be overtopped for all storms in excess of 37% of the PMF (Probable Maximum Flood). The spillway is, therefore, adjudged as "seriously inadequate" and the dam is assessed as unsafe, non-emergency.

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- 5. Repair all cracked concrete and recaulk all joints.
- 6. Return the reservoir drain to operating condition.
- 7. Remove all tree and brush growth on the embankment surfaces and along the banks of the immediate downstream channel. Provide a program of periodic cutting and mowing of the embankment surfaces and downstream channel.
- 8. Provide a program of periodic inspection and maintenance of the dam and appurtenances, including yearly operation and lubrication of the reservoir drain system. Document this information for future reference. The aforementioned emergency action plan should be maintained and updated periodically during the life of the structure.

George Koch

Chief, Dam Safety Section

New York State Department

of Environmental Conservation --

Koch

NY License No. 45937

Approved By:

Col. Clark H. Benn

New York District Engineer

Jener Po

Date:



Photo #1 Overview of Windover Dam

## PHASE I INSPECTION REPORT NATIONAL DAM SAFETY PROGRAM WINDOVER DAM I.D. No. NY 150 DEC #186-1322 UPPER HUDSON RIVER BASIN WARREN COUNTY, NEW YORK

#### SECTION 1: PROJECT INFORMATION

#### 1.1 GENERAL

a. Authority
The Phase I inspection reported herein was authorized by the Department of the Army, New York District, Corps of Engineers, to fulfill the requirements of the National Dam Inspection Act, Public Law 92-367.

b. Purpose of Inspection

Evaluation of the existing conditions of the subject dam to identify deficiencies and hazardous conditions, determine if they constitute hazards to human life and property and recommend measures where necessary.

#### 1.2 DESCRIPTION OF PROJECT

a. Description of Dam and Appurtenances

Windover dam consists of 2 earth embankments abutting a 140 feet long concrete capped masonry spillway, the maximum height of which is 15 feet. The left embankment is 75 feet long and the right embankment is 125 feet long. The crest width is 5 feet. The upstream slope is 1 vertical on 3 horizontal and the downstream slope is 1 on 2. A reservoir drain is located near the left end of the spillway.

b. Location
The dam is located on Baker Brook, a tributary North Creek and the Hudson River, approximately 0.5 miles west of the Village of Sodom, New York.

c. Size The dam is 15 feet high and impounds approximately 500 acre-feet. The dam is classified as "small" in size.

d. Hazard Classification
The dam is classified as high hazard because of its location, about 0.5 miles above the Village of Sodam, New York.

e. Ownership
The dam is owned and operated by Messers Thomas, Stewart and H.B. Hudnut.
Mr. H.B. Hudnut Jr. resides at 27 Horicon Avenue, Glens Falls, New York 12801.
Tel: (518)793-6922.

f. Purpose of Dam
The dam is used for recreational purposes.

g. Design and Construction History
The dam was constructed about 1916 to form a trout pond and provide power
for a small saw mill. Between 1927 and 1931, 4 feet of concrete was added
to the height of the spillway to increase the lake size. The dam was
reported to have failed in 1943 during a flash: flood. No record of loss
of life or property damage was reported. In 1948 the dam was extensively
reconstructed by B.A. Burton, Contractor, Brant Lake, N.Y. under the direction
of the owner W.H. Hudnut, Jr.

h. Normal Operating Procedures
All flows are discharged over the spillway. The reservoir drain system is not operational.

#### 1.3 PERTINENT DATA

a. Drainage Area (sq. mi.)	8.0
b. Elevations (ft. USGS Datum) Top of Dam Spillway Crest Invert of Reservoir Drain	1514.0 1510.0 N/A
c. Reservoir (acres; acre ft.) Surface Area @ Top of Dam Surface Area @ Spillway Crest Storage @ Top of Dam Storage @ Spillway Crest	105. Acres 99.6 Acres 855. Acre Ft. 505. Acre Ft.
d. Dam Type: Homogeneous earth with concrete core wall.	
Length (ft): Upstream Slope: Downstream Slope: Crest Width (ft):	200. 1:3 1:2 5.0

e. Spillway

Type: Ungated, masonary and stone crest with a concrete cap.

Weir length (ft): 140.0 Spillway Capacity @ Top of Dam (cfs): 4032.0

f. Reservoir Drain: original sluice was plugged with concrete; presently there is a reported metal pipe in place of the sluice but is bolted closed therefore inoperable in case of emergency draw.down.

#### SECTION 2: ENGINEERING DATA

#### 2.1 GEOLOGY

Windover Dam is located in the "Adirondack Highlands" physiographic province of New York State. The highest mountains in the State occur in this province, with an average relief of 2,000 feet. North, west, and south of the High Peaks region (east-central part of the province) elevations decrease gradually; east to the Champlain lowland, the slope is more abrupt. The Adirondacks are transacted by long, northeast—southwest lineaments, representing shear zones or major falts. The lineaments frequently control drainage and the shape of land forms. Many lakes follow geologie:contacts, or are confined to valleys along weak metasedimentary rocks. Because glacial deposits have clogged the normal radial drainage, lower areas are dotted with lakes, ponds, and swamps.

The landforms present are largely bedrock-controlled. The High Peaks are underlain solely by anorthosite. The remainder of the Central Highlands is composed of granite and syenitic gneisses which are not as erosion resistant as the High Peaks. Metasedimentary rocks, the least resistant type of rocks, are more local in distribution and occur in valleys. The "landforms and Bedrock Geology of New York State" map prepared by the University of the State of New York indicates that the bedrock in the vicinity of the dam is Metasedimentary and Metavolcanic rocks (marble, calc-silicate rocks, quartzite and various para gneisses) of Precambrian origin (prior to 570 million years ago). Their sedimentary precursors limestones, impure limestones, quartz sandstones, and shales respectively-originally were deposited as horizontal beds in a shallow sea.

#### 2.2 SUBSURFACE INVESTIGATION

No subsurface investigation could be located for the dam. However, the "General Soil Map of New York State" prepared by the Cornell University Agriculture Experiment Station indicates that the surficial soils in the vicinity of the dam are Becket, Berkshire and Potsdam soils of glacial till origin.

These soils are formed on mostly thick glacial till from the aforementioned bedrock types (see 2.1 Geology). The soils are stony and bouldery silty sands. Overall drainage is good, but due to the lack of clay type particles soil erosion is common. Permeability is moderate to rapid.

#### 2.3 DAM AND APPURTENANT STRUCTURES

The dam was constructed about 1916 and consisted of a masonry and concrete structure approximately 10 feet in height. A moulded concrete sluice served as a reservoir drain near the left end of the spillway. An additional sluice at the extreme left end of the spillway served as an intake for a 2 feet square penstock, which supplied a small sawmill. This mill was located immediately below the dam at the left abutment. Ice damage during the spring of 1918 necessitated repairs to the dam. Between 1927 and 1931, 4 feet of concrete was added to the spillway. Subsequent to a failure of the dam (right masonry portion) during a storm in 1943, the structure was rebuilt (1948) incorporating earth embankments in the construction. These embankments were placed on the upstream and downstream sides of the remaining

portions of the masonry sections of the dam.

#### 2.4 CONSTRUCTION RECORDS

The limited construction and reconstruction information which is available is included in Appendix F, Drawings.

#### 2.5 OPERATION RECORD

No operation information is available.

#### 2.6 EVALUATION OF DATA

Some of the data presented in this report has been made available by Dr. Herbert B. Hudnut, Jr. This information has been invaluable in preparation of this report and appears adequate and reliable for Phase I Inspection purposes.

#### SECTION 3: VISUAL INSPECTION

#### 3.1 FINDINGS

a. General

Visual inspection of Windover Dam and the surrounding watershed was conducted on November 29 and December 6, 1979. The weather was partly cloudy and the temperature ranged in the thirties. The reservoir level at the time of the inspections was approximately 1510.25 or 0.25 feet above the spillway crest.

b. Embankment

The earth embankment shows certain signs of distress which require monitoring to determine the severity of the problem area. The upstream slope is eroded from wave action above spillway crest elevation. (See Photos #6, 7, & 10) Trees on the upstream slope were evident, and one of these, near the right spillway buttress, was undermined and bowed (See Photos #1, 3 & 10) indicating movement of the root system during its-life. On the downstream slope of the right embankment near the spillway numerous mature trees were bowed (See Photo #11), also indicating some past movement of the slope. No evidence could be discovered which would indicate that any current movement is in progress. This area was reported to have experienced a failure during a 1943 storm. The area was rebuilt in 1948 and no further problems were reported.

The downstream slope of the left embankment appears to be steeper than that of the right embankment, which may be the cause of an erosion area beginning at the crest of the dam and extending toward the toe of slope. (See Photo #8) Removal of trees and seeding of the area should eliminate this problem. At the toe of the dam several depressions were observed near the roots of a tree. (See Photo #9) No active movement or seepage could be discerned. Since this area was in the vicinity of the foundation for the old sammill the depressions could be related to settlement around its foundation.

The crest of the embankments appears to be in good condition. Some distortion of the embankment crest near the spillway buttresses was noted. During discussions with the owner, it was learned that the owner had excavated in this area, attempting to locate the core wall. The core wall was discovered in the right embankment, but not in the left.

c. Seepage

Seepage was observed eminating from an area near the toe of the right embankment beneath a pile of brush. The flow, estimated to be 2 to 3 gallons per minute, had a rusty appearance. However, no migration of fines could be discerned. (See Photo #12)

d. Spillway
The spillway is a concrete capped masonry structure located between the
two earth embankments. (See Photos #1 thru 5) The spillway is generally
in good condition with only some minor evidence of concrete cracking. The
left spillway buttress, on the upstream side, was tipped toward the spillway
approximately 1/4 inch. No evidence of current movement was apparent.

e. Reservoir Drain
The reservoir drain, located near the left side of the spillway, was reconstructed in 1948. This drain has not been operated for many years. A detailed inspection of the drain could not be conducted due to the spillway discharge.

Downstream Channel

The downstream channel immediately below the dam is bouldery and overgrown with trees. (See Photo #13) Some erosion of the original grade below the spillway buttresses was observed. This erosion is believed to be due to the flow restrictive nature of the boulders and vegetation.

There are no visible signs of instability or sedimentation problems in the reservoir area.

#### 3.2 EVALUATION OF OBSERVATIONS

Significant conditions were observed which require investigation to determine if remedial action is required to insure the stability of the dam and appurtenances. The following is a summary of the problem areas encountered, in order of importance, with the appropriate recommended action:

- 1. The bowing of trees on the right embankment near the spillway indicate that movement of the slope has occurred. This area should be monitored at bi-weekly intervals with the aid of survey equipment to asertain if ongoing movement is occurring.
- 2. The seepage observed at the toe of the right embankment, should be monitored at bi-weekly intervals with the aid of weirs. If the flow rate increases significantly or migration of fines occurs, immediate remedial measures will be required to control this seepage.
- 3. The upstream slope at the normal reservoir level is eroded due to wave action. This area should be ripraped to prevent further erosion.
- The depressions observed at the toe of the left embankment should be backfilled.
- The concrete elements of the spillway are cracked and the joints are not caulked. Repair all deteriorated areas during low flow periods and recaulk all joints.
- 6. The areas of the earth embankments adjacent to the spillway buttresses are depressed. Backfill these areas so that the crest is flush with the top of the buttresses.
- 7. The reservoir drain is not operational. Restore this system to operating condition.
- 8. The areas below the spillway buttresses (downstream side) are eroding due to spillway discharges. Repair these areas with riprap.

9. Considerable vegetation was observed growing on the embankments and in the downstream channel. Remove this vegetation and provide a program of periodic cutting and mowing of the embankment surfaces and the downstream channel.

#### SECTION 4: OPERATION AND MAINTENANCE PROCEDURE

#### 4.1 PROCEDURES

The normal water surface is approximated by the spillway crest, Elevation 1510s.

#### 4.2 MAINTENANCE OF THE DAM

The dam is maintained by the owners, the Hudnut family. Maintenance of the dam is not considered satisfactory as evidenced by the erosion of the upstream face, extensive tree growth, inoperative reservoir drain and deterioration of concrete elements of the spillway.

#### 4.3 WARNING SYSTEM

There is no warning system in effect or in preparation.

#### 4.4 EVALUATION

The dam and appurtenances have not been maintained in satisfactory condition as noted in "Section 3: Visual Inspection."

#### SECTION 5: HYDRAULIC/HYDROLOGIC

#### 5.1 DRAINAGE AREA

Windover Lake Dam is located on the Baker Brook approximately 1/2 mile west of Sodom, Warren County, New York. The total drainage at the dam is 8.01 square miles. The topography is moderately steep with two well defined drainage paths.

#### 5.2 ANALYSIS CRITERIA

The analysis of the spillway capacity of the dam and storage of the reservoir was performed using the Corps of Engineers HEC-1 computer program, incorporating the "Snyder Synthetic Unit Hydrograph" method, and the "Modified Puls" flood routing procedure. The floods selected for analysis were the PMF and 1/2 PMF in accordance with the recommended guidelines of the Corps of Engineers.

#### 5.3 SPILLWAY CAPACITY

The Windover Lake spillway is an ungated, concrete capped weir, 5 feet in width, 140 feet long. The structure was rebuilt in 1948 after it had breached in June 1943.

The spillway has a capacity of 3700 cfs at top of dam which is 37% of the computed PMF, which is 9920 cfs. The dam is overtopped by approximately 2.3 feet during the PMF event.

#### 5.4 RESERVOIR CAPACITY

Capacity to normal elevation is 505 acre-feet. Surcharge storage to top of dam is an additional 350 acre-feet, creating a total storage capacity of 855 acre feet to top of dam. The surcharge storage between the spillway crest and top of dam is equivalent to .82 inches of runoff.

#### 5.5 FLOODS OF RECORD

There have been no recorded events since the dam was rebuilt in 1948. However, shortly before the dam was inspected on November 29, 1979 there was a major storm event in the eastern Adirondack region where it was estimated that the spillway was flowing approximately 2 feet deep or 1190 cfs.

#### 5.6 OVERTOPPING POTENTIAL

The PMF analysis indicates the dam will be overtopped by 2.3 feet during the PMF, and 0.51 feet during the 1/2 PMF. During either of these magnitude of floods it is felt that the bridges on Chatiemac Road and the low lying homes along Peaceful Valley Road would be inundated.

#### 5.7 EVALUATION

The spillway is inadequate to pass 1/2 the PMF of 4817 cfs. The previous breaching caused no loss to life or property but some development downstream has taken place increasing the potential danger.

The spillway, therefore, is adjudged as "seriously inadequate", and the dam is assessed as unsafe, non-emergency.

#### SECTION 6: STRUCTURAL STABILITY

#### 6.1 EVALUATION OF STRUCTURAL STABILITY

#### a. Visual Observation

Signs of distress were observed in connection with the right earth embankment. The bowed trees near the right spillway buttress indicate that movement of the slope has occurred in the past. Careful inspection of the slope did not reveal any condition which would indicate that this movement is current or ongoing.

#### b. Design and Construction Data

No information could be located regarding the structural stability of the structure.

#### c. Operating Records

No operating problems were reported which would affect the stability of the dam.

#### d. Post Construction Changes

The dam was repaired in 1918 when ice damaged the structure. Between 1927 and 31, 4 feet of concrete was placed to increase the lake size. In 1948 the dam was reconstructed due to a failure of the structure during a storm in 1943.

#### 6.2 STRUCTURAL STABILITY ANALYSIS

A structural stability analysis was conducted for the spillway portion of the dam. The results of the analysis are as follows:

#### Case Description of Loading Conditions

- Normal Operating Conditions, reservoir at El. 1510 full uplift, no tailwater
- 2 Same as Case I, 7.5 kips/L.F. ice load
- 3 Water at 1/2 PMF level (E1. 1514.4), uplift as in Case I, tailwater = 2 feet
- 4 Water at PMF level (El. 1516.3), uplift as in Case I tailwater = 3 feet
- Normal Operating Conditions as in Case I with Seismic forces of 0.1 (Seismic Zone 3)

Case	Factor of Safety Overturning	Location of Resultant from Toe	Factor of Safety Sliding
1	2.00	3.5	2.2
ż	0.79	-1.8	<u>ī.ī</u>
3	1.13	0.8	1.0
4	0.94	-0.5	8.0
5	1.59	2.6	1.5

Location of Middle 1/3 is 3.67 to 7.33 feet from the toe.

These results indicate that the spillway portion analyzed does not meet the factors of safety recommended by the Corps of Engineers for any condition. (Resultant must fall within the middle 1/3 and factor of safety for sliding = 3.0.)

Since the structure has withstood ice loading conditions and normal loading conditions without damage, the analysis (which includes available information) may not indicate the true configuration of the structure and the proper loading conditions. Therefore, it is recommended that an in-depth analysis of the structure be conducted, prior to initiation of any remedial actions.

Further information on Structural Stability is included in Appendix E.

#### SECTION 7: ASSESSMENT/RECOMMENDATIONS

#### 7.1 ASSESSMENT

a. Safety

The Phase I Inspection of Windover Dam revealed that the spillway is "seriously inadequate", based upon the Corps of Engineers "screening criteria", and outflows from any storm in excess of 37% of the PMF will overtop the dam. This overtopping could cause breaching of the dam and the resulting flood-wave would significantly increase the hazard to downstream residents. For these reasons, the dam has been assessed as unsafe, non-emergency.

In addition, the dam has a number of problem areas which if left uncorrected, have the potential for the development of hazardous conditions. These areas are:

- 1. The unacceptable factors of safety for all loading conditions concerning the structural stability of the spillway portion of the dam.
- 2. The bowed trees on the downstream slope of the right embankment indicate that movement of the slope has occurred.
- 3. Seepage encountered at the toe of the right embankment below the bowed tree area may be associated with the movement of the slope.
- b. Adequacy of Information
  The information reviewed is considered ade

The information reviewed is considered adequate for Phase I Inspection purposes.

c. Need for Additional Investigations
Since the spillway is considered to be "seriously inadequate", additional hydrologic/hydraulic investigations are required to more accurately determine the site specific characteristics of the watershed. After the in-depth hydrologic/hydraulic investigations have been completed, remedial measures must be initiated to provide spillway capacity sufficient to discharge the outflow from the 1/2 PMF event. In addition, an investigation of the structural stability of the spillway portion of the dam is required.

d. Urgency
The additional hydrologic/hydraulic investigations and the stability investigation which are required must be initiated within 3 months from the date of notification. Within 1 year of notification, remedial measures as a result of these investigations must be initiated, with completion of these measures during the following year. In the interim, develop an emergency action plan for the notification of downstream residents and proper governmental authorities in the event of overtopping and provide round-the-clock surveillance of the dam during periods of extreme run-off. The other problem areas listed below must be corrected within 1 year from notification.

#### 7.2 <u>RECOMMENDED MEASURES</u>

- 1. The results of the aforementioned investigations will determine the appropriate remedial actions required.
- 2. Monitor the downstream slope of the right embankment at bi-weekly intervals with the aid of survey equipment to ascertain if movement is occurring.
- 3. Monitor the seepage at the toe of the downstream slope of the right embankment at bi-weekly intervals with the aid of weirs. If flow rates increase significantly or migration of fines occurs, immediate remedial measures will be required to control this seepage.
- 4. Repair the eroded upstream slope with riprap to prevent further deterioration from wave action.
- 5. Backfill the depressions observed at the toe of the left embankment and at the crest of both embankments adjacent to the spillway.
- 6. Repair all concrete areas which are cracked and recaulk all joints.
- 7. Return the reservoir drain to operating conditions.
- 8. Repair the eroded areas at the downstream toe of the spillway buttresses.
- 9. Remove all vegetative growth from the embankment surfaces and along the banks of the immediate downstream channel. Provide a program of periodic cutting and mowing of the embankment surfaces.
- 10. Provide a program of periodic inspection and maintenance of the dam and appurtenances, including yearly operation and lubrication of the reservoir drain system. Document this information for future reference. The emergency action plan described in section 7.1d should be maintained and updated periodically during the life of the structure.

APPENDIX A

**PHOTOGRAPHS** 



Photo #2 Spillway - Downstream Face



Photo #3 Spillway - Crest



Photo #4 Downstream Channel Note Debris



Photo #5
Right Abutment of Spillway
Note Debris



Photo #6 Left Embankment (pointing to high water mark)



Photo #7 Erosion of Left Embankment Upstream Face

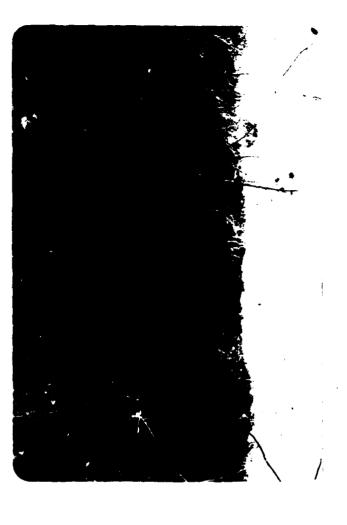


Photo #8
Left Embankment
Erosion of Downstream Face



Photo #9
Depression at toe of
Left Embankment



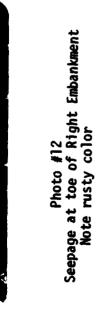
Photo #10 Right Embankment Erosion of Upstream Face



Photo #11
Downstream Face Right Embankment
Note Bowed Trees



Photo #13 Downstream Channel





Old Photo Dated 1920 Downstream Face of Spillway (Old Mill at Right)



Spillway Downstream Face Dated August 20, 1970



Old Photos Dated 1946 Upstream Face Note Breach at right end of spillway



APPENDIX B

VISUAL INSPECTION CHECKLIST

## VISUAL INSPECTION CHECKLIST

1)	Basic Data		

a.	General .
	Name of Dam Windows (Fermerly Ross Lete)
	Fed. I.D. # 150 DEC Dam No. 186 -1322
•	River Basin Upper Hudson
	Location: Town Johnsburg County Warren
	Stream Name Baker Brook
	Tributary of Neith Creek & Upper Hudson
	Latitude (N) 43° 36.1' Longitude (W) 74° 00.2'
	Type of Dam 2 Eurih embentments abulling a concrete copper mescury
	Type of Dam 2 Earth embantments abothing a concrete copper mesonry  Hazard Category "" - High
	Date(s) of Inspection 11/29/79 \$ 12/6/79
	Weather Conditions Parly Cloudy Temp = 30's
	Reservoir Level at Time of Inspection 0.25 feet above Sp. 11may crest
b.	Inspection Personnel J.C. Veilch R. P. Mc Carl
c.	Persons Contacted (Including Address & Phone No.)
	Herbert B Hudnist Jr. 27 Horicon Ave
	GLas Falls Ny 12801
	(SIE) 793-6922 hom / Min 793-6610
	·
d.	History:
	Date Constructed 1916 Date(s) Reconstructed 1918 (March!) Tree
	19 27 -31
	Designer Unkarna Fallure in 1943 required majer
	Designer Unkness Falure in 1943 required major  Constructed By conjugal owner Ellisworth Ress (4 construct in completed in 1948)  Owner of Themas Stymest and Harbert Hudard
	Owners Thomas, Stewart and Harbert Hudnut.

2)	Embankment						
	a.	Char	Characteristics				
		(1)	Embankment Material 5.1-4 <a.> booldery</a.>				
		(2)	Cutoff Type unkness possibly do massary dom				
	•	(3)	Impervious Core Concrete core = 1 1.1 thick				
		(4)	Internal Drainage System				
		(5)	Miscellaneous				
	b.	Cres	et :				
		(1)	Vertical Alignment appears good except where				
	•	(2)	Liesta cois wall.  Horizontal Alignment				
		(3)	Surface Cracks				
		(4)	Miscellaneous				
	c.	Upst	ream Slope				
		(1)	Slope (Estimate) (V:H) 1:3				
		(2)	Undesirable Growth or Debris, Animal Burrows				
		(3)	Shape indicating movement of most suchen  possible undercutting from move action  where action has created a step in the stope				

(4)	Slope Protection plans ind code that riprop
	was called for - none evident however
(5)	Surface Cracks or Movement at Toe
. Dav	mstream Slope
(1)	Slope (Estimate - V:H)
(2)	Undesirable Growth or Debris, Animal Burrows trees and brosk
	some cutting of brush was pulled now sight spilling buttiess
(3)	Sloughing, Subsidence or Depressions ecos car cast as by a
•	depressions of too of left into locasely due to old mill exict on
	et tien bewin in richt and in weindy of de feibre - ne indiendiente
(4)	
	non orident - trace bound or right emb slo
(5)	Seepage 2-3 gpm emmatics from right embented tree
	area (beneath p.h. of brush) area surrounding ylan
	has a rusty appearance (ne migration of finer)
(6)	External Drainage System (Ditches, Trenches; Blanket)
	De W
(7)	Condition Around Outlet Structure
	Zone arcsic of grade behind spilling reducing wells
(8)	Seepage Beyond Toe
. Abı	itments - Embankment Contact
	earth about to appear to be in good
	٠٥٠/٤/ ١٥٠٥

(1)	Erosion at Contact Oche 4018 (2)
(2)	Seepage Along Contact
inag	e System
Des	cription of System
Con	dition of System
	dition of System
Dis	charge from Drainage System  entation (Momumentation/Surveys, Observation Wells, Weirs,
Dis	dition of System
Dis	charge from Drainage System  entation (Momumentation/Surveys, Observation Wells, Weirs,
Dis	charge from Drainage System

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a.	Slopes appear & J. Lile
b.	Sedimentation ne publime reported
c.	Unusual Conditions Which Affect Dam
Are	a Downstream of Dam
a.	Downstream Hazard (No. of Homes, Highways, etc.)
	Village of Sodan a 1/2 mile below can
b.	Seepage, Unusual Growth
	numers true & brush - should be removed
_	
c.	Evidence of Movement Beyond Toe of Dam
d.	Condition of Downstream Channel books & free in channel
Spi	llway(s) (Including Discharge Conveyance Channel)
a.	General concrate capped mesoning spilling 140' un
b.	Condition of Service Spillway
	The help butteres upstreet wall appears to have moved to be
	sp. Num - meniter & rearely je no - Patch up all created

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	c.	Condition of Auxiliary Spillway
		non
	.a	Condition of Discharge Conveyance Channel
	u.	
		beuldens d'terre en dernaterem chennel
8)	Res	ervoir Drain/Outlet
		Type: Pipe Conduit Other
		Material: Concrete Metal Other
		Size: Length
		Invert Elevations: Entrance Exit
		Physical Condition (Describe): Unobservable
		Material:
		Joints: Alignment
		Structural Integrity:
		Hydraulic Capability:
		Means of Control: Gate Valve Uncontrolled
		Operation: Operable Other
		Present Condition (Describe): Describe
		epicated de many descri

	ctural
	Concrete Surfaces
	generally good eardiling
	·
	Structural Cracking Some more cracking
	crest & hotherses
	Movement - Horizontal & Vertical Alignment (Settlement)
	· · · · · · · · · · · · · · · · · · ·
	ne significant movement en est
	Junctions with Abutments or Embankments
	<u> </u>
	Drains - Foundation, Joint, Face
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	Water Passages, Conduits, Sluices
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10)	App	urtenant Structures (Power House, Lock, Gatehouse, Other)
	a.	Description and Condition
	•	<u> </u>

APPENDIX C

HYDROLOGIC / HYDRAULIC

ENGINEERING DATA AND COMPUTATIONS

## CHECK LIST FOR DAMS HYDROLOGIC AND HYDRAULIC ENGINEERING DATA

## AREA-CAPACITY DATA:

	· .	Elevation (ft.)	Surface Area (acres)	Storage Capacity (acre-ft.)
1)	Top of Dam	154.0	105	_855
2)	Design High Water (Max. Design Pool)			
3)	Auxiliary Spillway Crest		-	
4)	Pool Level with Flashboards		_	_
5)	Service Spillway Crest	1510.0	100	505

## DISCHARGES

	(cfs)
Average Daily	socks.
Spillway @ Maximum High Water	36.96 cfs
Spillwey @ Design High Water	
Spillway @ Auxiliary Spillway Crest Elevation	
Low Level Outlet	NA
Total (of all facilities) @ Maximum High Water	3696 cfs.
Maximum Known Flood	
At Time of Inspection	60 cfs
	Spillway @ Maximum High Water  Spillway @ Design High Water  Spillway @ Auxiliary Spillway Crest Elevation  Low Level Outlet  Total (of all facilities) @ Maximum High Water  Maximum Known Flood

CREST:		_	ELEVATION:
Type:	unte impres	Length	sted werr)
Width:	5.01	Length	1: 140.0
Spillover			······
Location _	Southersten	Corner of L	Original streambed)
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5	.0 w 140.0	Width	
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· ~	<u> </u>	Uncontrolled	
		Controlled:	
	_	Туре	
	(Flas	hboards; gate)	
		Number	
	_	Size/Length	
÷	In	vert Material	
		cipated Length perating service	_
		Chute Length	
-		Setween Spillway ( roach Channel Inv	

HTDRUMETERULUGICAL GAGES:	
Type:	
Location:	
Records:	
Date	
Max. Reading -	
FLOOD WATER CONTROL SYSTEM:  Warning System: 1000 C	
Method of Controlled Releases (mechanisms):  Algune Stoice plugged	_
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NEW YORK STATE DEPT OF ENVIRONMENTAL CCNSERVATION FLCOD PROTECTION BUREAU \*\*\*

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MULTI-PLAN ANALYSES TO BE PERFORMED NPLANE 1 NRTIO\* 2 LRTIUS 1

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# SUMMARY OF DAM SAFETY ANALYSIS

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APPENDIX D

REFERENCES

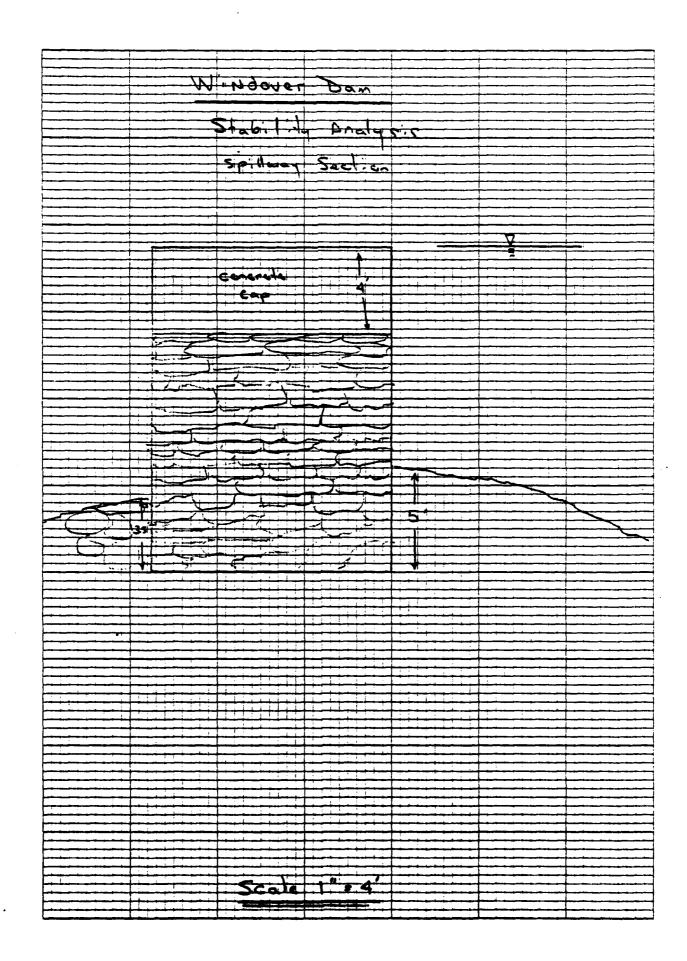
#### APPENDIX D

#### REFERENCES

- 1) U.S. Department of Commerce, Technical Paper No. 40, Rainfall Frequency Atlas of the United States, May 1961.
- 2) Soil Conservation Service, <u>National Engineering Handbook</u>, Section 4, Hydrology, August 1972 (U.S. Department of Agriculture).
- 3) H.W. King and E.F. Brater, <u>Handbook of Hydraulics</u>, 5th edition, McGraw-Hill, 1963.
- 4) T.W. Lambe and R.V. Whitman, <u>Soil Mechanics</u>, John Wiley and Sons, 1965.
- 5) W.D. Thornbury, <u>Principles of Geomorphology</u>, John Wiley and Sons, 1969.
- 6) University of the State of New York, Geology of New York, Education Leaflet 20, Reprinted 1973.
- 7) Cornell University Agriculture Experiment Station (compiled by M.G. Cline and R.L. Marshall), General Soil Map of New York State and Soils of New York Landscapes, Information Bulletin 119, 1977.

APPENDIX E

STABILITY ANALYSIS



# Area of Dan Spillway Section

11 x 15 = 165 bt? mount arm = 5.5'

Ol. = Do E and 5 simple 2

Active Soil Pressure = 5' height Passive Soil Pressure = 3.5' height

Assume Ice Loading = 7.5 Kips / L.F. at top of Spillway

PMF = 4.4 pt. over top of spillway

Case I Normal Conditions

Case I I sa Loading

Corr II & PWE

Cost IN PWE

Cose I Seismic Loading

# INPUT FOR STABILITY ANALYSIS PROGRAM

Input Location	Input Parameter Description
0	Unit Weight of Dam (K/ft. <sup>3)</sup>
1	Area of Segment #1 (ft. <sup>2</sup> )
2	Location of Center of Gravity from toe (ft.) Segment #1
3	Area of Segment #2 (ft. <sup>2</sup> )
4	Location of CG from toe, Seg. #2 (ft.)
5	Area of Segment #3 (ft. <sup>2</sup> )
6	Location of CG from toe, Sg. #3 (ft.)
7	Total Base Width of Dam (ft.)
8	Height of Dam (ft.)
9	<pre>Ice Loading (K/L.F.)</pre>
10.	Coefficient of Sliding
11	Unit Weight of Soil (K/ft. <sup>3</sup> )
12	Coefficient of Active Soil Pressure - Ka
13	Coefficient of Passive Soil Pressure - Kp
14	Height of Water over Top of Dam (ft.)
15	Height of Soil for Active Pressure (ft.)
16	Height of Soil for Passive Pressure (ft.)
17	Height of Water in Tailrace Channel (ft.)
. 18	Unit Weight of Water (K/ft. <sup>3</sup> )
19	Area of Segment #4 (ft. <sup>2</sup> )
20	Location of CG from toe, Seg. #4 (ft.)
46	Height of Ice Load or Active Water
49	Location of Foundation Drains from Heel (ft.)
50	Seismic Coefficient (a)

Stability Analysis

Input Parameters

Input Location	Casa I	Case II	Cose III	Case W	Case I
00	.16				
01	165				
50	5.5				
03	٥				
04	٥				
05	0				
06	Ō				
07	11				
80	15				
09	0	7.5	٥	0	G
10	. 7				
1)	. <b>0 %</b>			•	
12	. 3				
13	3				
14	0	6	4.4	6 3	0
15	5				
16	3.5				
17	٥	0	2	3	0
18	.0624				
19	0				
20	٥				
46	15	5	19.4	SI . 3	15
50	0	٥	O	0	.10

#### WINDOVER DAM STABILITY ANALYSIS SPILLWAY SECTION

#### Case I Normal Loading

### (a) 2.002876541

(b) 3.461462451

(c) 2.233114754

#### Case IV PMF

(a) •9373806748

(b) -. 4862449561

(c) .7815711612

#### Case II Ice Loading

(a) · 7904945871

(b) -1.832156973

(c) 1.102995951

#### Case V Seismic Loading

(a) 1.587532282

(b) 2.558441558

(c) <sub>1.522223774</sub>

#### Case III 1/2 PMF

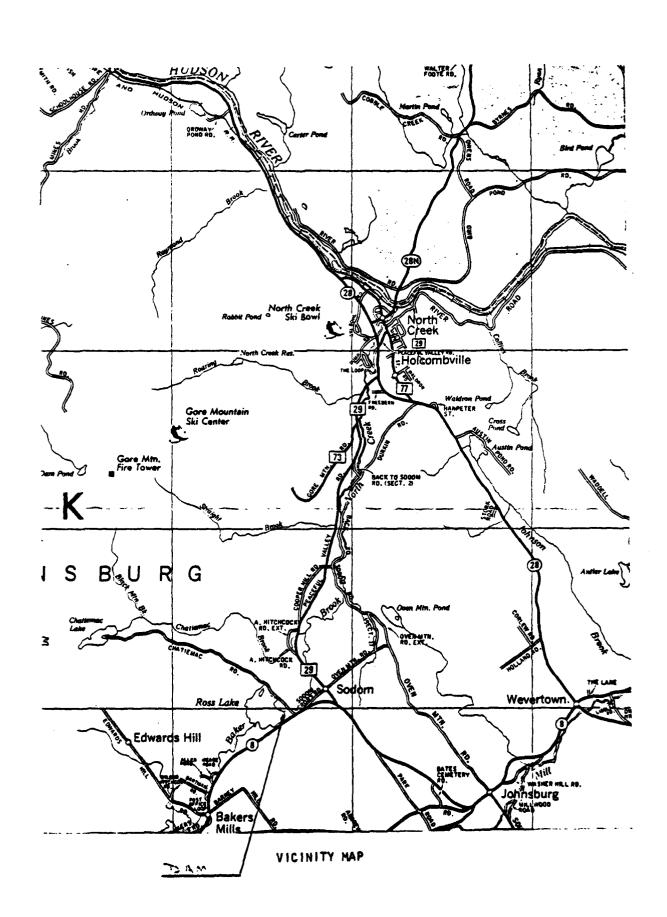
- /<sub>2</sub> 1.127555209
- (b) .8085949352
- (c) .9894738093

# NOTE: (a) is the factor of safety for overturning;

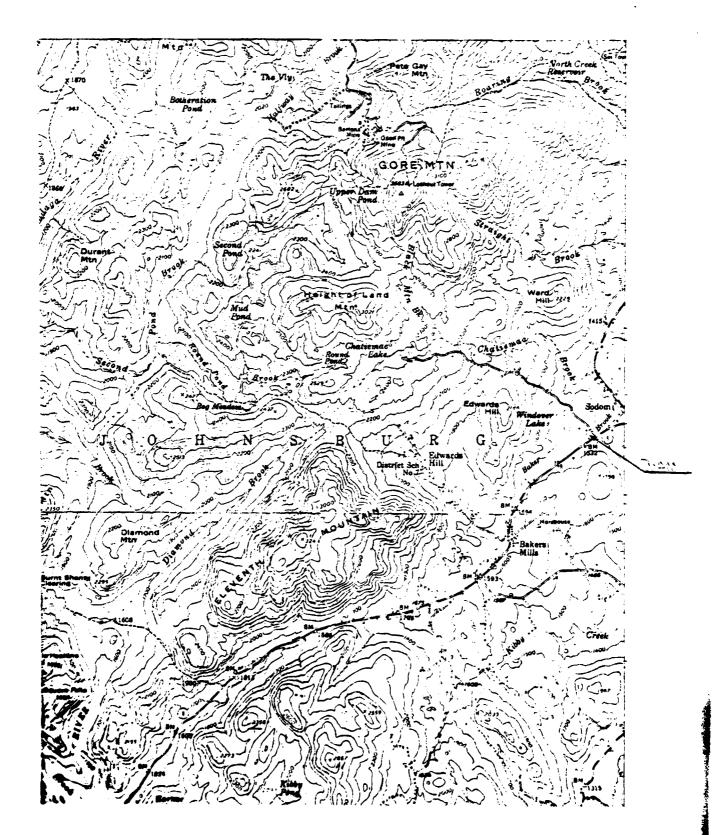
- (b) is the location of the resultant from the toe;
- (c) is the factor of safety of safety for sliding.

APPENDIX F

DRAWINGS



A CAN



TOPOGRAPHIC MAP

## WINDOVER DAM

# LIST OF DRAWINGS

Plan & Sections Existing Conditions (1948)

Sheet I of III

Plan - Proposed Construction

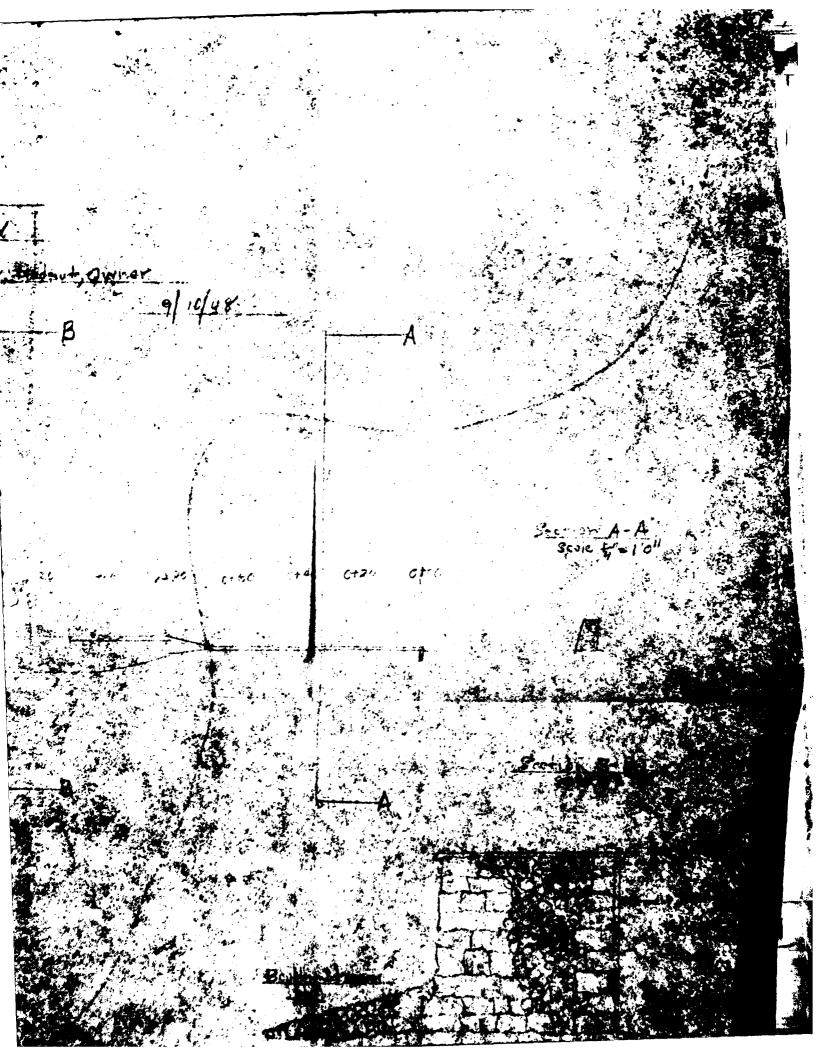
Sheet II of III

Sections of Earth Embankment - Proposed

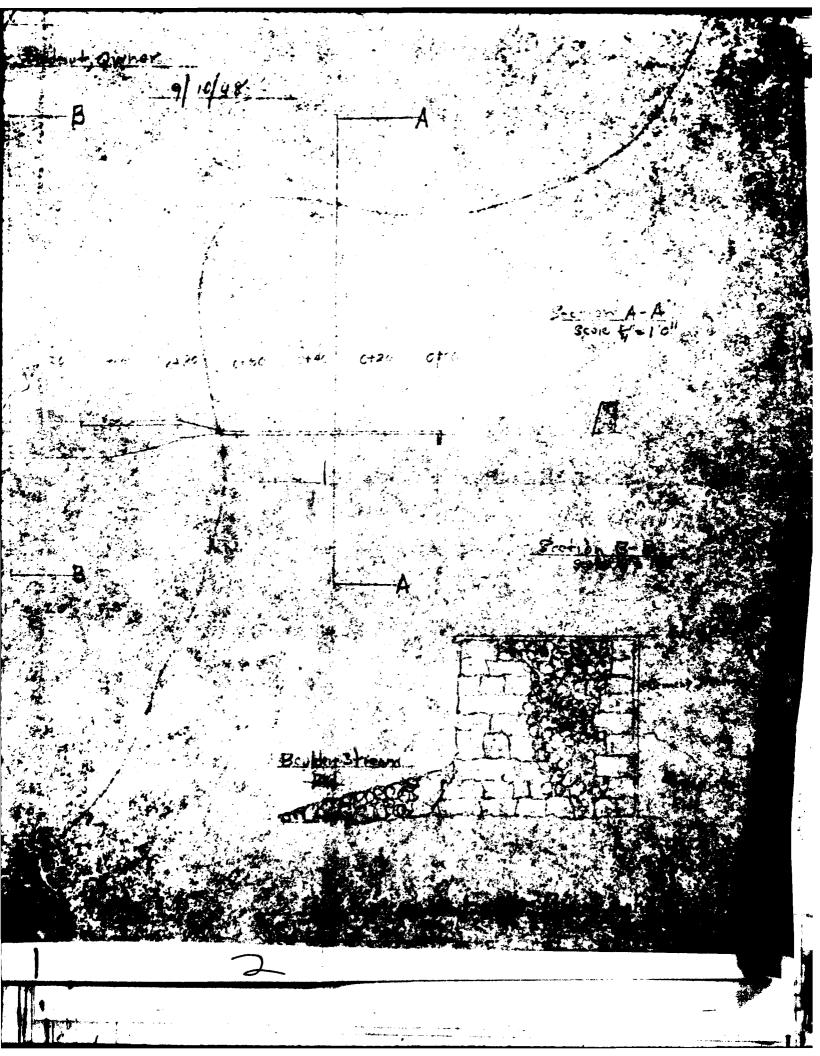
Sheet III of III

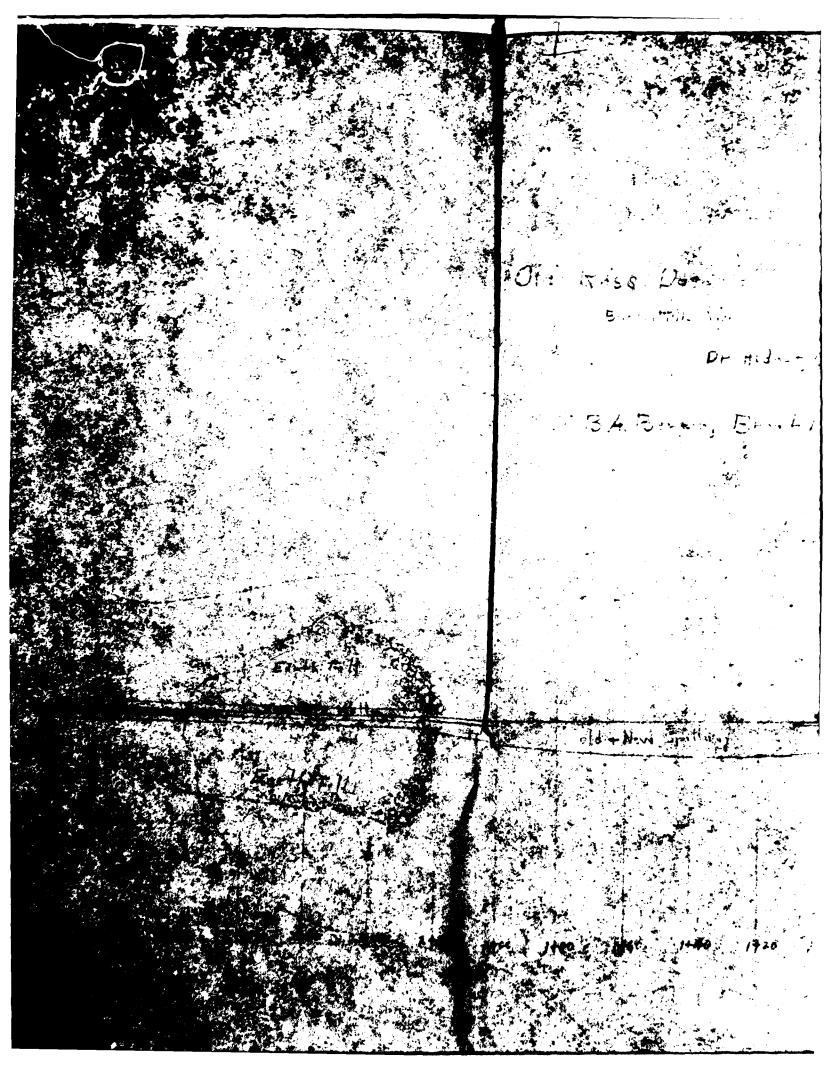
Plans Dated September 10, 1948

Old Ross Dam Baken Hills NX



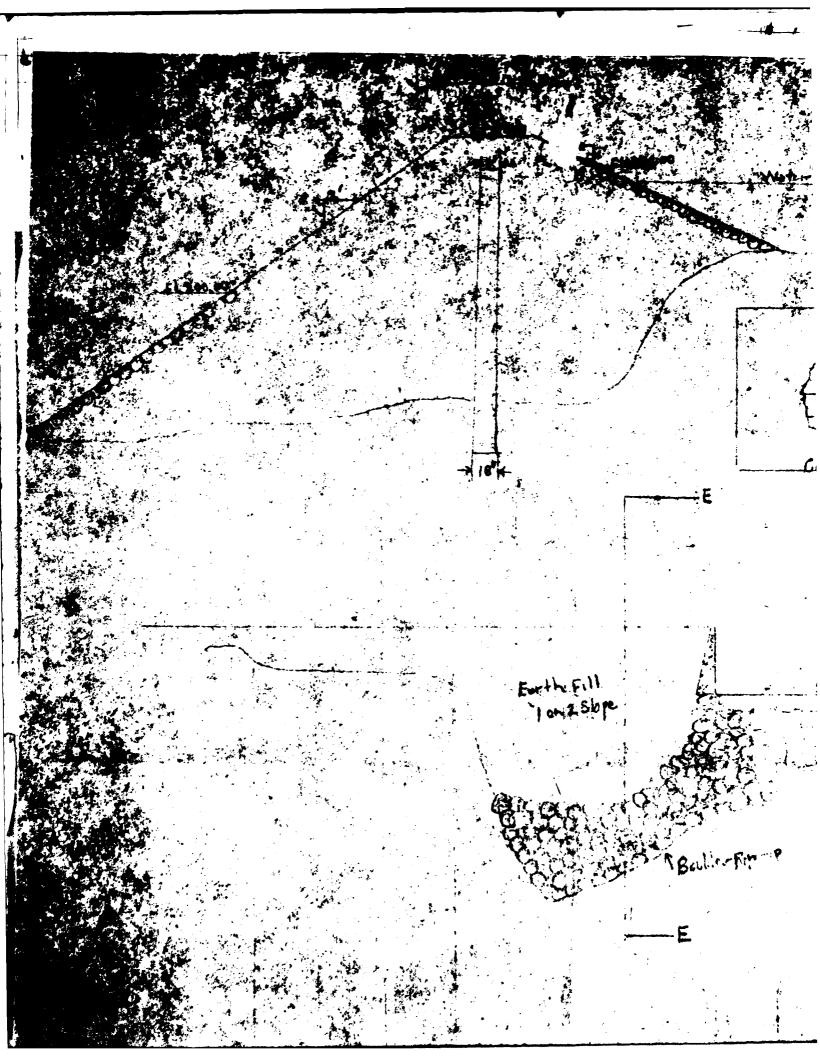
Boken Hills Ny





Dr Hidney Cwarm un, Brook King, My. Contractor MBARONN,

mthing My Conten



Eurth Fill I on 2 Slope Down & tra Scale Horis 18 18

